

Additional Application Form



The Trust Company (RE Services) Limited ACN 003 278 831

Swell Global Fund ARSN 649 827 921

This form is for existing investors only

If you are a new investor please use the Initial Application Form.

This Additional Application Form relates to the Product Disclosure Statement dated 14 February 2022 (“PDS”) issued by The Trust Company (RE Services) Limited ACN 003 278 831, AFSL 235150, for the offer of units in the Swell Global Fund (“Fund”). Terms defined in the PDS have the same meaning in this Additional Application Form. The PDS contains important information about investing in the Fund, and you are advised to read the PDS and Reference Booklet as well as the Target Market Determination before completing this Additional Application Form.

If you are an existing Unitholder(s) and this investment is NOT in the same name(s), fund and unit class as your existing account, please complete an Initial Application Form and the identification forms noted in Section 1. You can obtain them at www.swellasset.com.au.

Account/Investor Number

Account/Investor Name

1. Additional Investment

Investment amount

Please specify the unit class of your existing investment (A, B or C)

Source of funds being invested (choose most relevant)

- Retirement income
- Employment income
- Business activities
- Sale of assets
- Inheritance/gift
- Financial investments
- Other

Payment method

Cheque

Marked ‘not negotiable’ and made payable to ‘**Swell Global Fund – Name of Applicant**’

Direct Credit/Electronic Funds Transfer

Please indicate your name (or account name) in the EFT description and deposit the application money to the following account:

Account name: Swell Global Fund Application Account
BSB: 082 401
Account Number: 88 651 8756
National Australia Bank 500 Bourke Street Melbourne Vic 3000
SWIFT code: NATAAU3303M

2. Required Signatories

Investor Type	Who must sign
Individual	where the investment is in one name, the investor must sign
Joint investors	where the investment is in more than one name, all investors must sign
Company	two directors or a director and a company secretary must sign, unless you are a sole director and sole company secretary
Trust	each trustee must sign or, if a corporate trustee, then as for a company
Partnership	each partner

3. Declaration

I/we declare and agree each of the following:

- I/we have read the current PDS and acknowledge this additional application request is subject to the terms and conditions set out in the current PDS.
- My/our application is true and correct.
- I am/we are bound by any terms and conditions contained in the current PDS and the provisions of the constitution of the Fund as amended from time to time.
- I/we have legal power to invest.
- If this is a joint application, each of us agrees our investment is as joint tenants. Each of us is able to operate the account and bind the other to any transaction including investments or withdrawals by any available method.
- If investing as trustee on behalf of a super fund or trust, I/we confirm that I am/we are acting in accordance with my/our designated powers and authority under the relevant trust deed. In the case of a super fund, I/we also confirm that it is a complying fund under the Superannuation Industry (Supervision) Act 1993.
- I/we acknowledge that neither The Trust Company (RE Services) Limited nor any of its related entities, officers or employees or any related company or any of the appointed service providers including the investment manager and custodian guarantee the repayment of capital or the performance of the Fund or of any particular rate of return by the Fund.
- I/we have read and understood the privacy disclosure as detailed in the current PDS. I/we consent to my/our personal information being collected, held, used and disclosed in accordance with the privacy disclosure. I/we consent to The Trust Company (RE Services) Limited disclosing this information to my/our financial adviser (named in this form) for units in the Fund. Where the financial adviser no longer acts on my/our behalf, I/we will notify The Trust Company (RE Services) Limited of the change.
- If I/we have appointed an authorised representative, I/we release, discharge and indemnify The Trust Company (RE Services) Limited from any loss, expense, action or other liability which may be suffered by, brought against me/us or The Trust Company (RE Services) Limited for any action or omissions by the authorised representative whether authorised by me/us or not.

Investor 1

Signature

Full Name

Date

Capacity:

- Sole Director and Company Secretary
- Director
- Secretary
- Individual trustee
- Partner

Investor 2

Signature

Full Name

Date

Capacity:

Sole Director and Company Secretary

Director

Secretary

Individual trustee

Partner

Please send your signed form to:

Investor Services
Mainstream Group

Level 1
51-57 Pitt Street
Sydney NSW 2000

Mail to
GPO Box 4968
Sydney NSW 2001

Tel: 1300 133 451
Fax: 02 8244 1916

Email: investorservices@mainstreamgroup.com

Please email, fax or post your signed form

